

# Encoding and Decoding Models

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"All models are wrong, but some are useful."

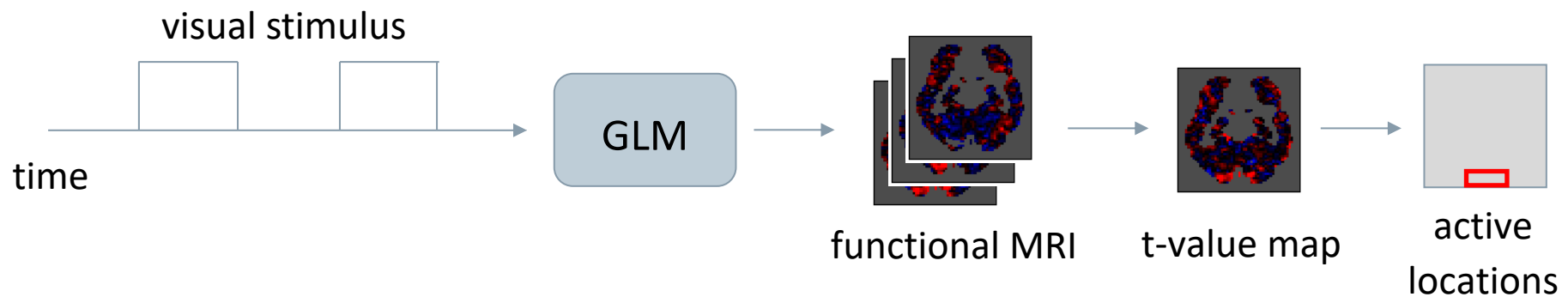
George Box

”I hope you paid attention during Martin’s talk...”

Francisco Pereira

# overview

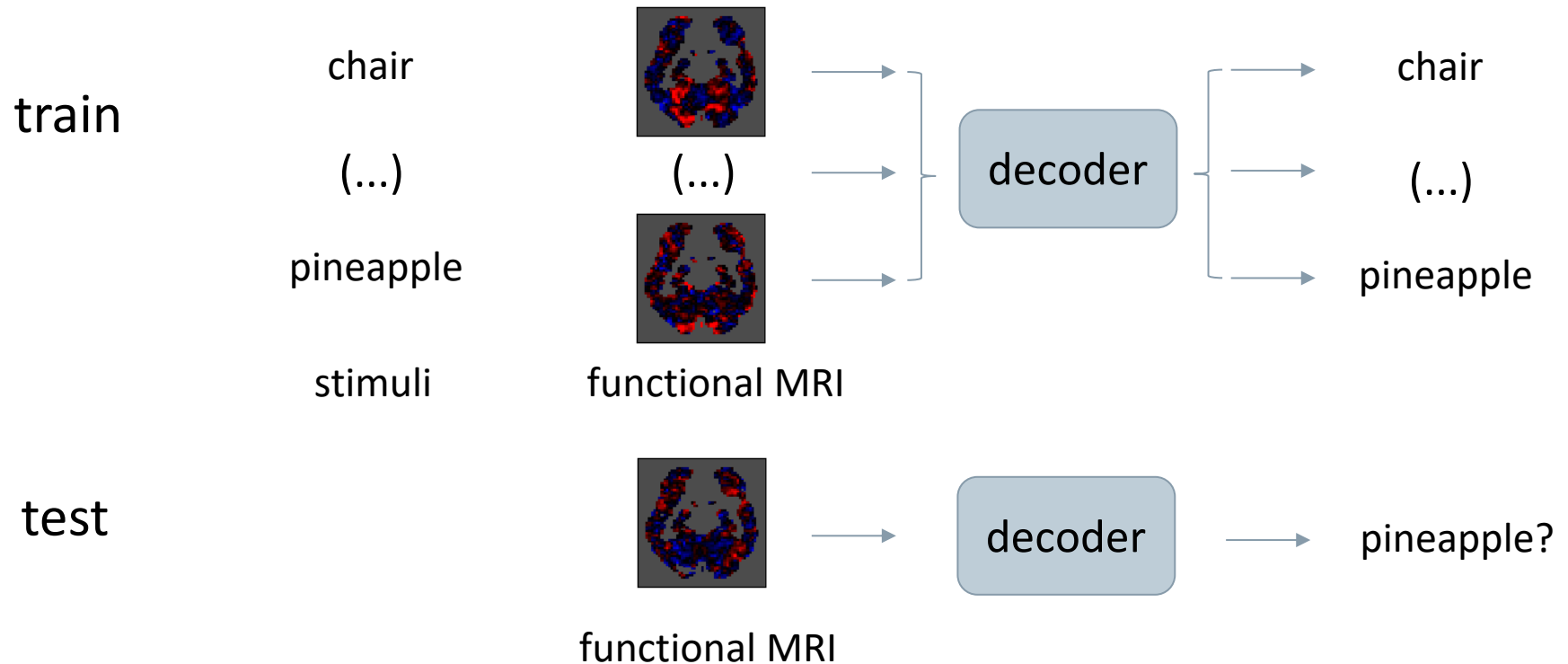
## activation



- GLM: general linear model
- mass univariate: regression model of each voxel from the stimulus
- refinements: nuisance regressors, graded responses, ...
- good GLM: explains voxel behavior

# overview

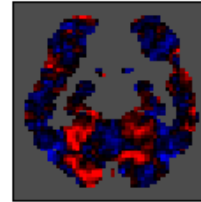
## decoding



- decoder: classifier, regression model, ...
- multivariate: input is pattern of activation over many voxels
- good decoder: accurate at picking correct stimulus

# tools and questions

stimulus  
(task)



GLM

“is there a brain location that responds to the stimulus?”

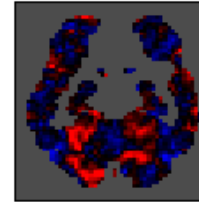


decoder

“is there information about the stimulus  
in the pattern of activation?”

# tools and questions

stimulus  
(task)



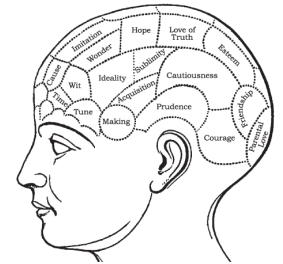
GLM

“is there a brain location that responds to the stimulus?”



decoder

“is there information about the stimulus  
in the pattern of activation?”



## ... and the questions we care about



- what does brain area X do?
- is brain area X used in task Y?
- if a subject is doing Y, what does their brain represent?
- where are representations invariant to Z?
- does everyone with disease W have altered connectivity to X?
- ...



# using GLMs to answer questions

”Is region X used in task Y?”

- careful choice of control condition(s)  
(e.g. if studying sentences, control with nonwords, scrambled words,...)
- using a meta-analysis to perform reverse inference [Poldrack 2011]
  - “how likely is task Y given region X?”
  - activation databases
    - BrainMap, NeuroSynth, NeuroVault, ...
    - activation locations, statistical maps, ...
    - fixed ontologies of neural function vs terms extracted from paper text

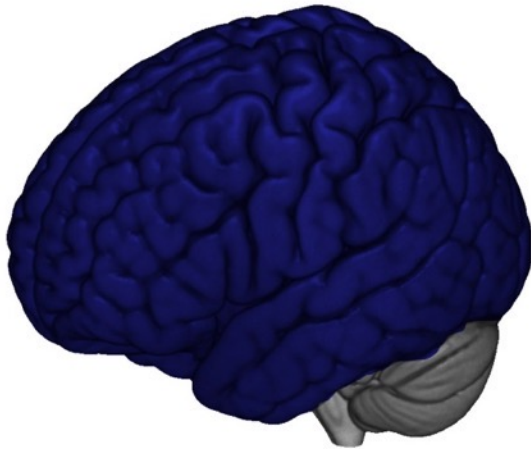
# using decoders to answer questions

- restrict voxels considered in space or time
- select voxels by their behavior
- use decoders as sensors of cognitive states
- ...

# using decoders to answer questions

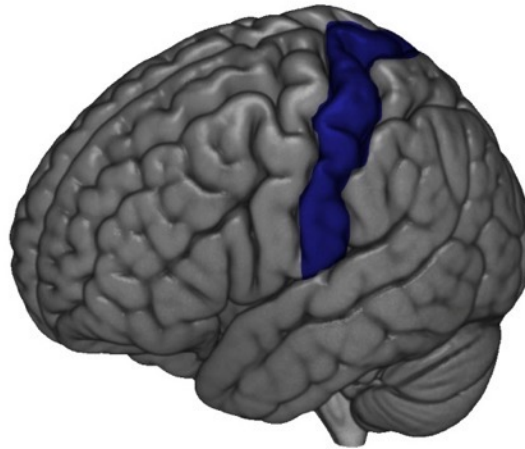
restrict voxels considered in space or in time

whole-brain



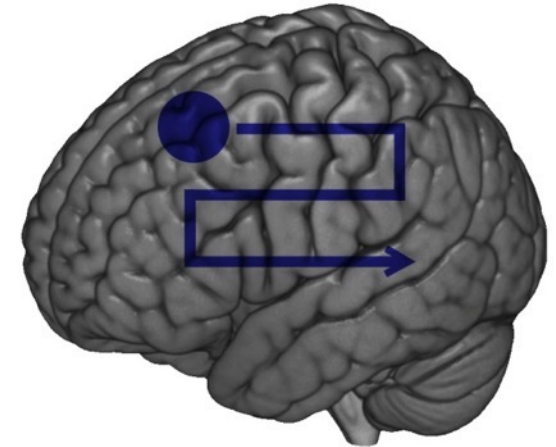
one accuracy result

region of interest

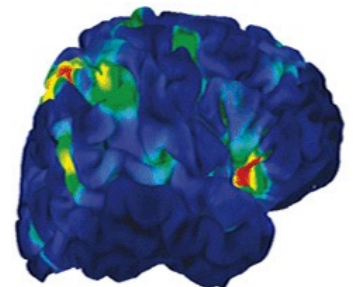


one accuracy result per ROI

searchlight



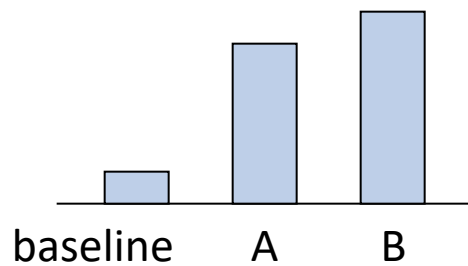
one accuracy result per searchlight



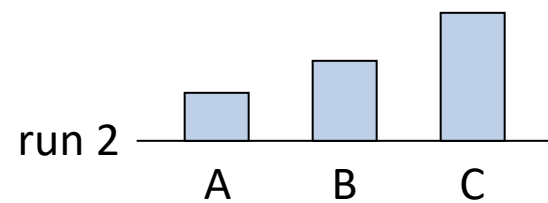
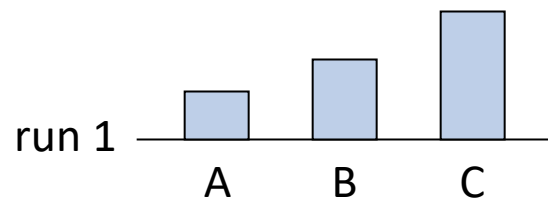
[adapted from Martin Hebart]

# using decoders to answer questions

select input voxels by their behavior



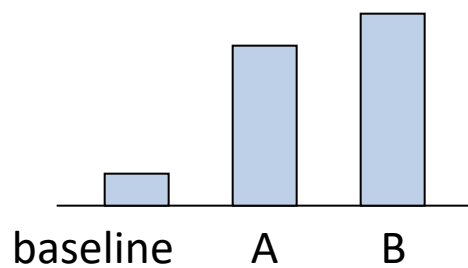
active during task



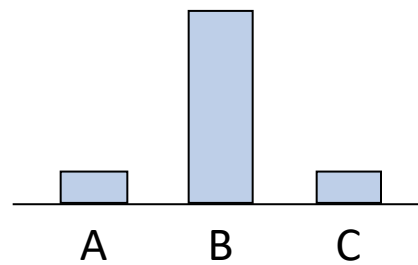
responds consistently  
to each condition

# using decoders to answer questions

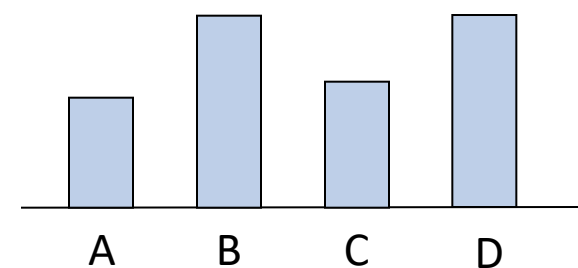
select input voxels by their behavior



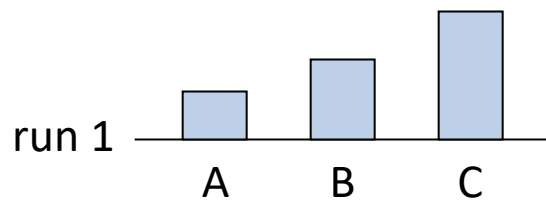
active during task



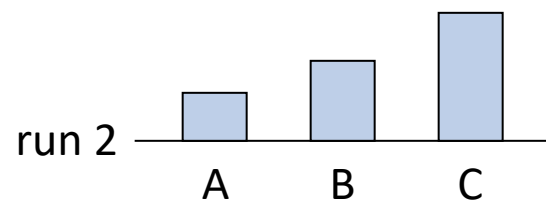
selective for condition



responds differently to some conditions



run 1



run 2

responds consistently to each condition

■ heightened danger of circularity...

[Pereira et al 2009]

[Kriegeskorte et al 2009]

# using decoders to answer questions

decoders as virtual sensors of cognitive states in time...

train decoders of faces / locations / objects on study phase fMRI



face decoder



location decoder



object decoder

# using decoders to answer questions

decoders as virtual sensors of cognitive states in time...

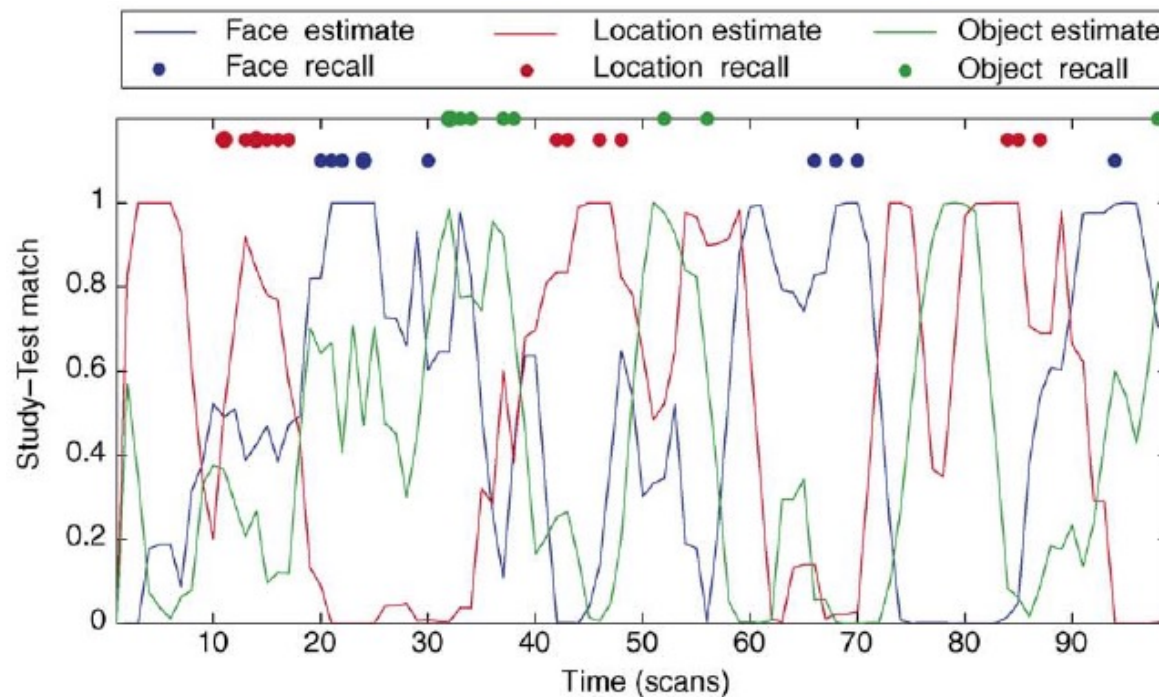
train decoders of faces / locations / objects on study phase fMRI

face decoder

location decoder

object decoder

apply decoders to detect category reinstatement during free-recall fMRI

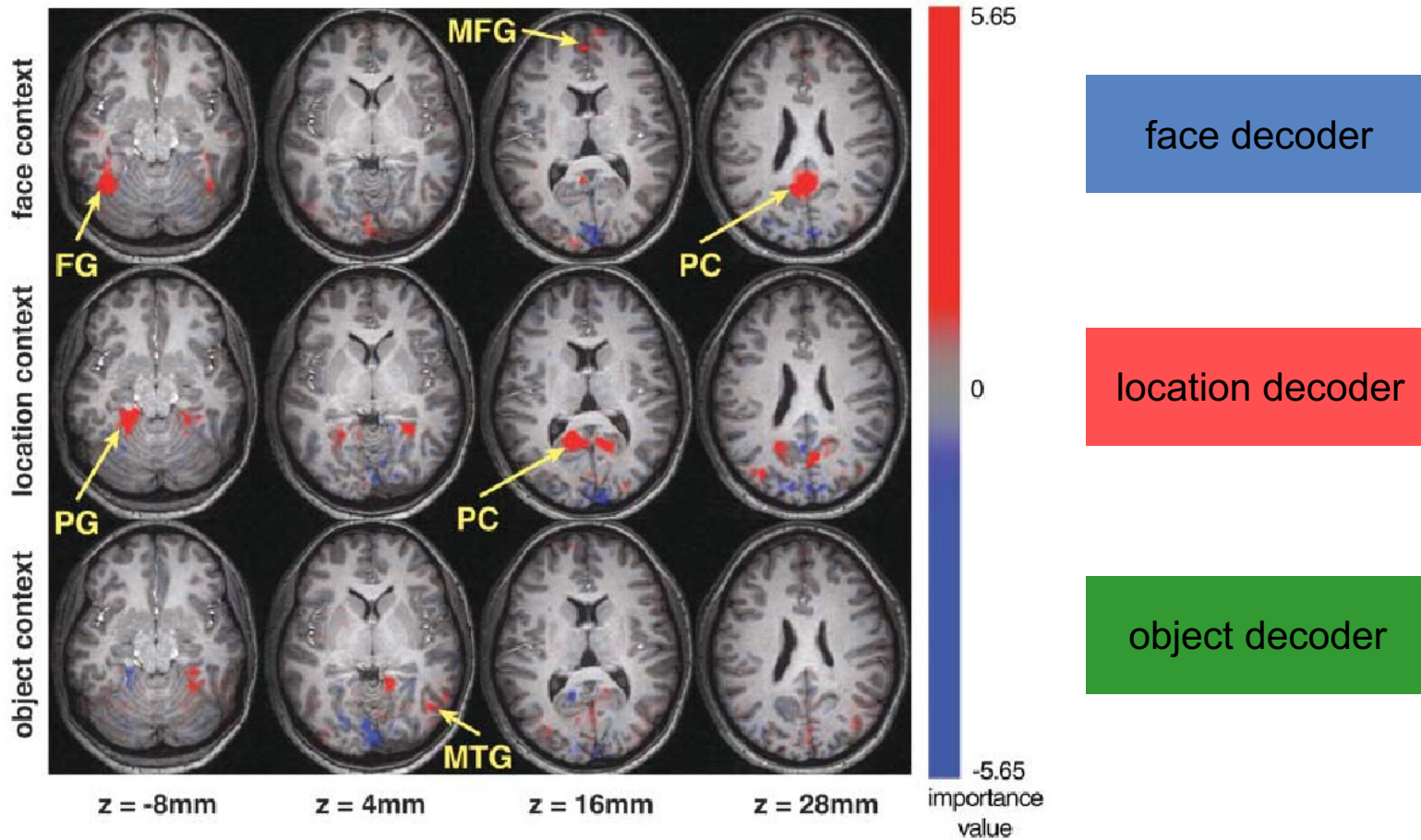


[Polyn et al 2005]

# using decoders to answer questions

... also shed light on spatial distribution of information

voxels with the most impact on each decoding estimate

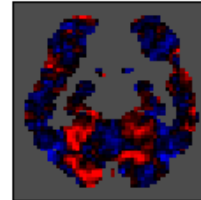


[Polyn et al 2005]



# inference seems rather indirect...

stimulus  
(task)



## GLM inference

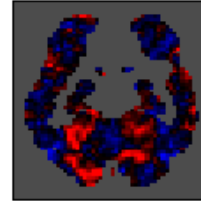
driven by task contrasts, prior studies

## decoder inference

driven by feature choices,  
and dissection of decoders

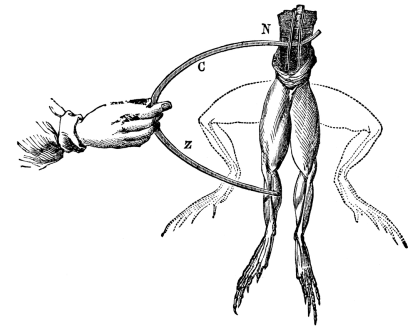
# inference seems rather indirect...

stimulus  
(task)



## GLM inference

driven by task contrasts, prior studies

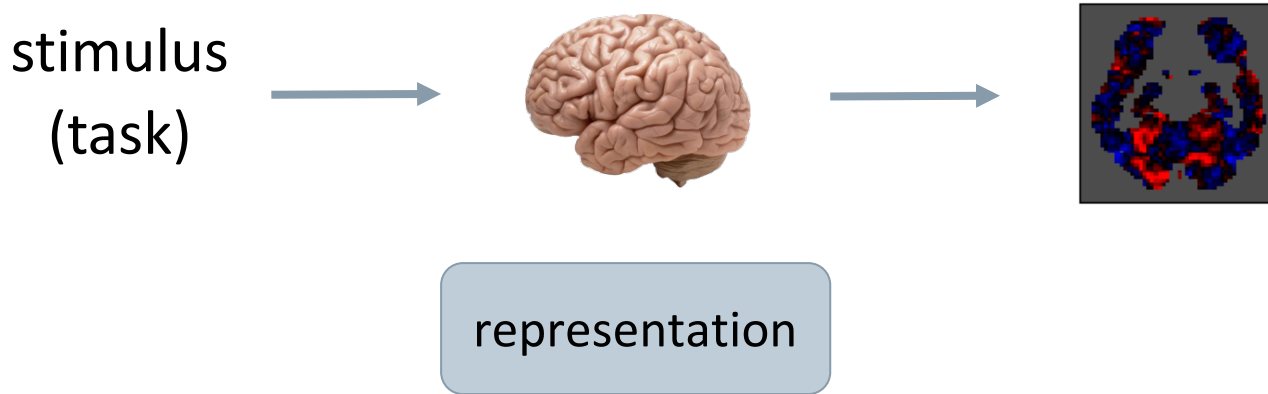


## decoder inference

driven by feature choices,  
and dissection of decoders



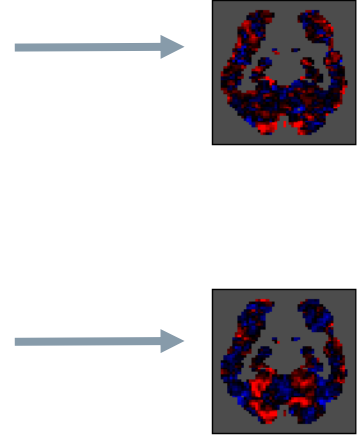
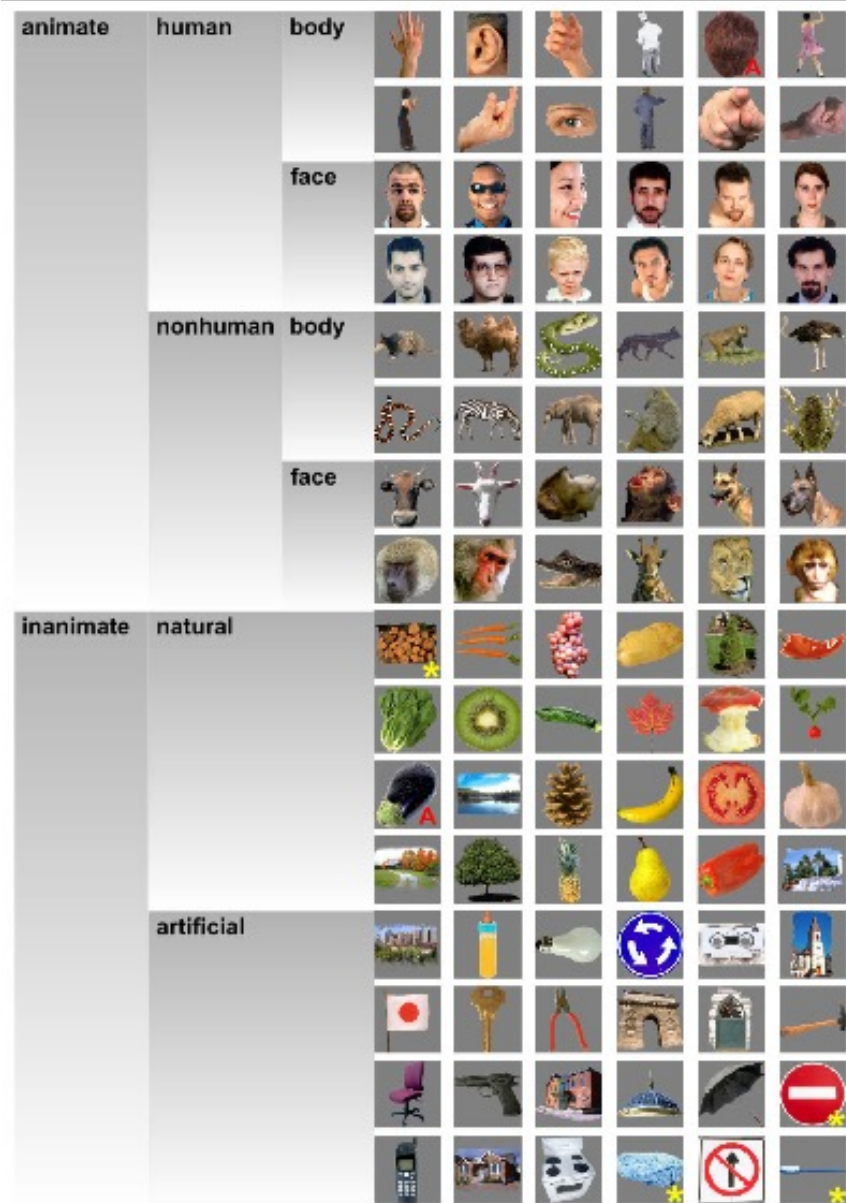
# ... but it doesn't have to be!



what is represented in the brain as a task is performed?

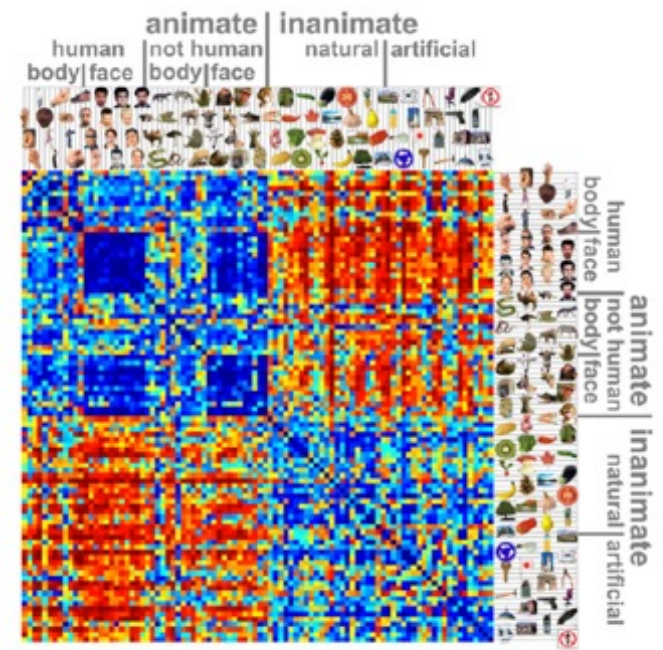
- known or constrained by behavioral or animal experiments
- mathematical or computational models
- hypothesized
- learned elsewhere (text corpora, image database, ...)
- ...

# representational similarity analysis



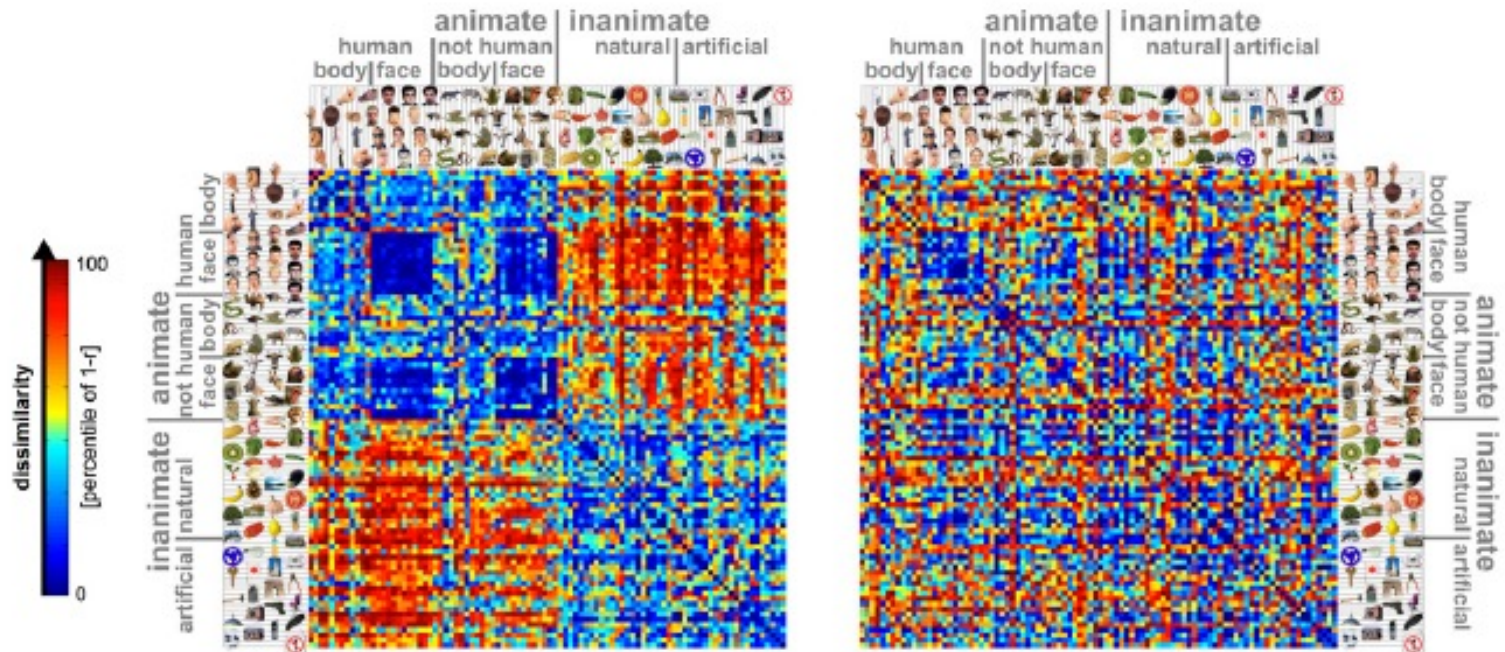
[Kriegeskorte, 2008]

calculate similarity of activation patterns



human IT

# representational similarity analysis



human IT

human early visual cortex

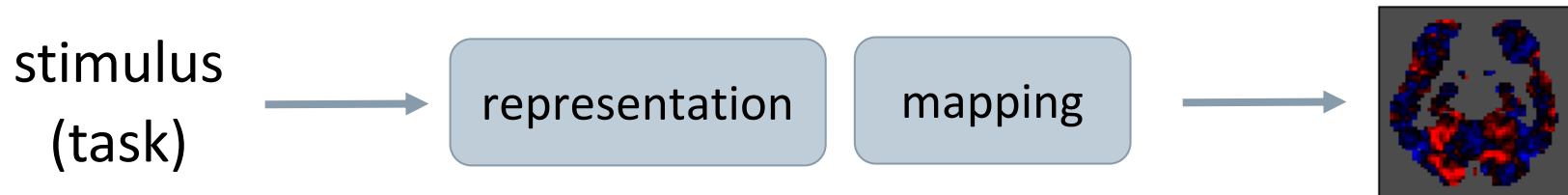
- similarity structure between activation patterns differs by location
- different contrasts allow inference about what is represented



# encoding and decoding models

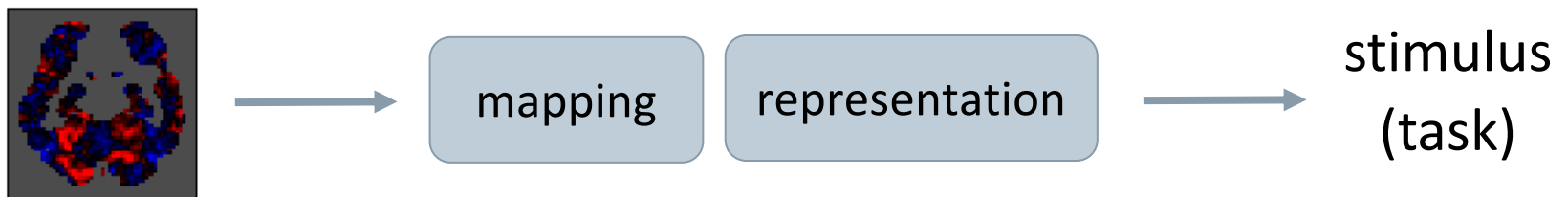
## encoding model

“does my representation predict activation?”



## decoding model

“can I infer my representation (and stimulus) from activation?”



## case study 1 (encoding)

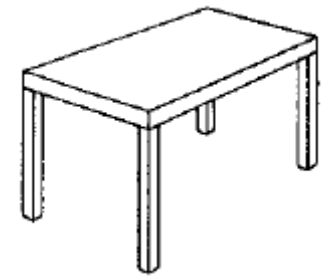
# **Predicting Human Brain Activity Associated with the Meanings of Nouns**

Tom M. Mitchell,<sup>1\*</sup> Svetlana V. Shinkareva,<sup>2</sup> Andrew Carlson,<sup>1</sup> Kai-Min Chang,<sup>3,4</sup>  
Vicente L. Malave,<sup>5</sup> Robert A. Mason,<sup>3</sup> Marcel Adam Just<sup>3</sup>

[Science, 2008]

# design

stimulus in each trial  
(3 sec + 8 sec fixation)



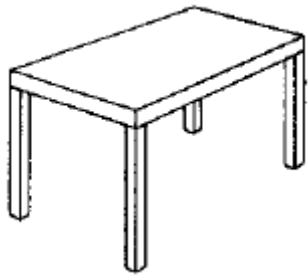
Table

60 different words (12 categories x 5 exemplars)

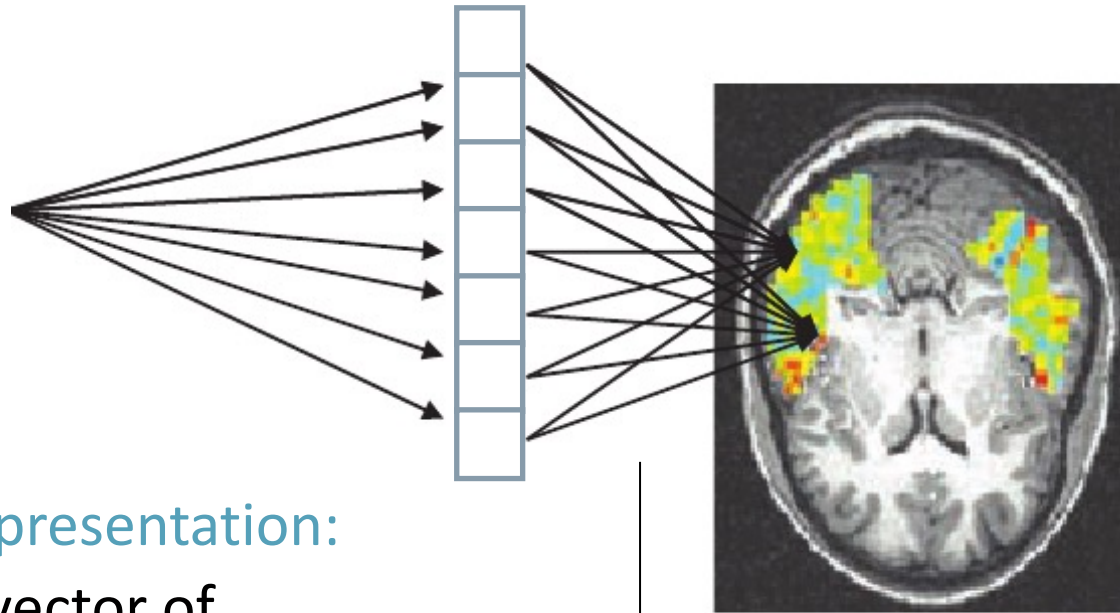
|                       |              |          |             |           |         |
|-----------------------|--------------|----------|-------------|-----------|---------|
| BODY PARTS            | leg          | arm      | eye         | foot      | hand    |
| FURNITURE             | chair        | table    | bed         | desk      | dresser |
| VEHICLES              | car          | airplane | train       | truck     | bicycle |
| ANIMALS               | horse        | dog      | bear        | cow       | cat     |
| KITCHEN<br>UTENSILS   | glass        | knife    | bottle      | cup       | spoon   |
| TOOLS                 | chisel       | hammer   | screwdriver | pliers    | saw     |
| BUILDINGS             | apartment    | barn     | house       | church    | igloo   |
| PART OF A<br>BUILDING | window       | door     | chimney     | closet    | arch    |
| CLOTHING              | coat         | dress    | shirt       | skirt     | pants   |
| INSECTS               | fly          | ant      | bee         | butterfly | beetle  |
| VEGETABLES            | lettuce      | tomato   | carrot      | corn      | celery  |
| MAN MADE<br>OBJECTS   | refrigerator | key      | telephone   | watch     | bell    |



# model



Table



representation:  
a vector of  
semantic features

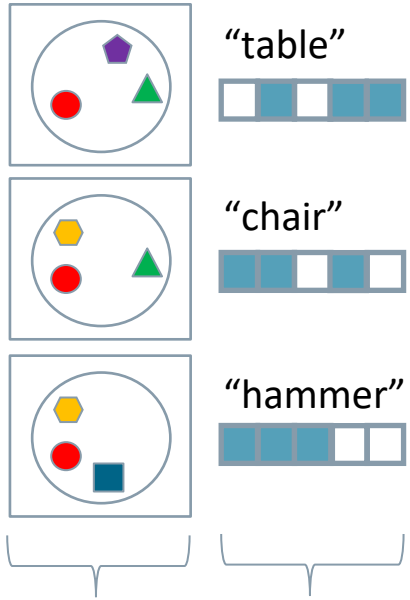
average activation  
during 3 seconds

mapping:  
each voxel is a linear  
combination of  
semantic features

# representation

- goal: represent different aspects of meaning of a word
- 25 verbs used as proxies:
  - sensory: see, hear, listen, taste, touch, smell, fear, ...
  - motor: rub, lift, run, push, move, say, eat, ...
  - other: fill, open, ride, approach, drive, enter, ...
- 25 feature values:
  - co-occurrence of each word with 25 verbs, in a large text corpus
- e.g. “airplane” (0.87, ride, 0.29, see, 0.17, near, 0.08, run, ...)

# mapping



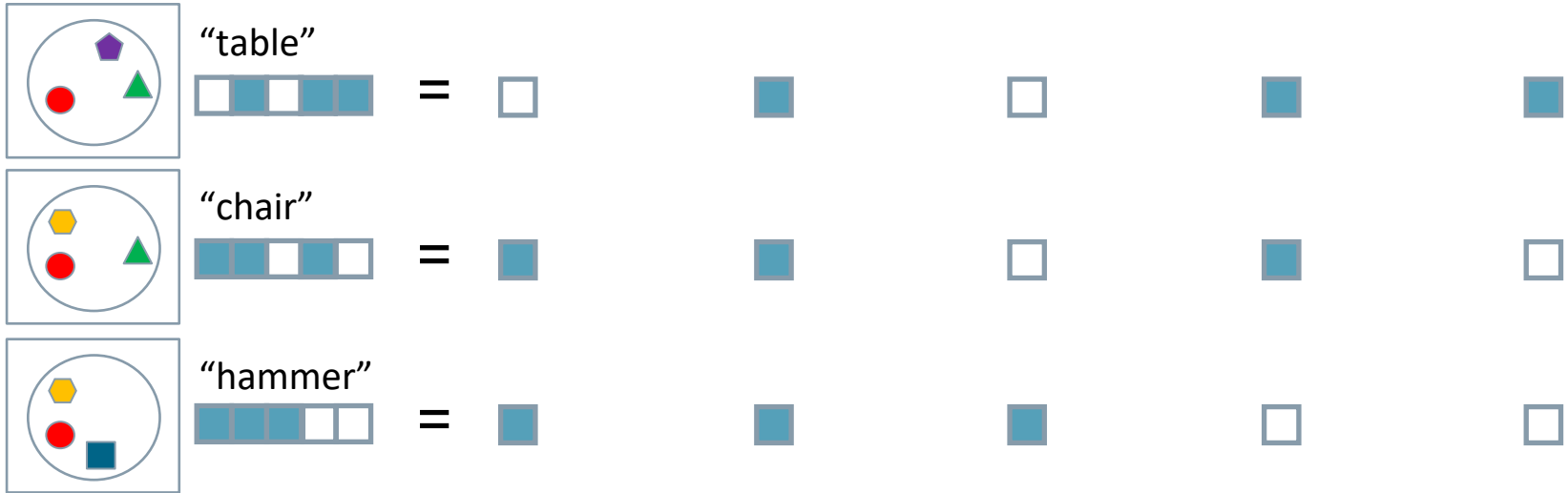
"table"

"chair"

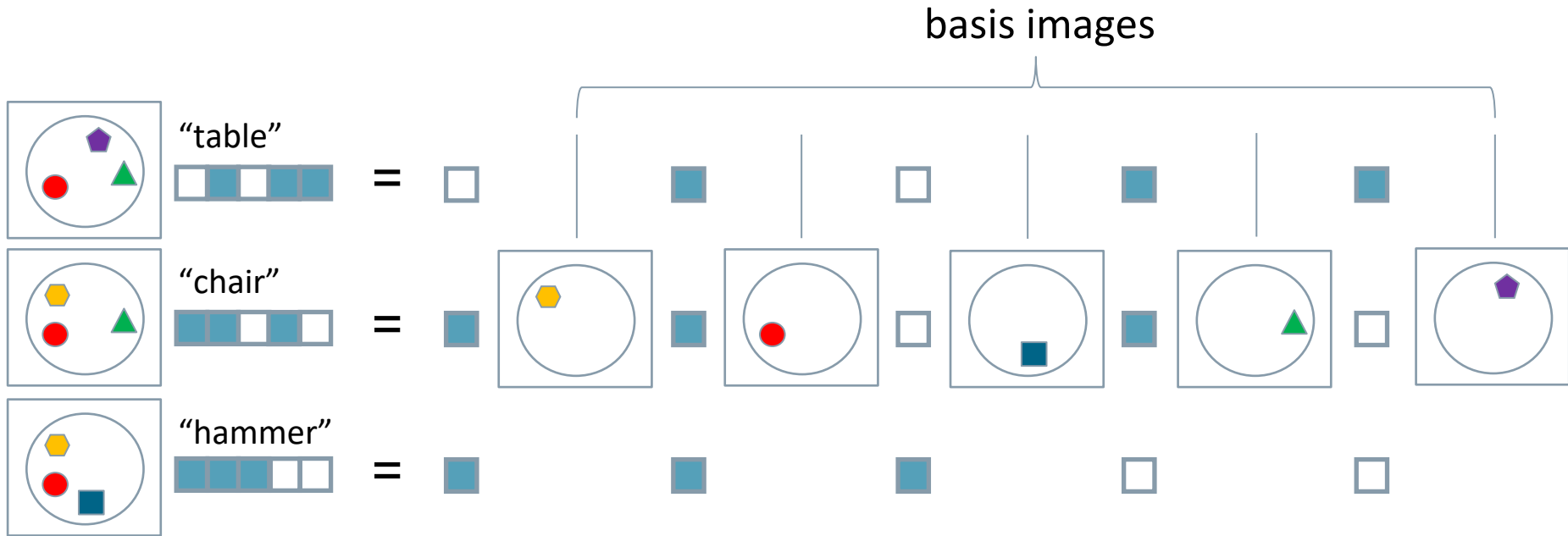
"hammer"

activation semantic  
feature  
values

# mapping

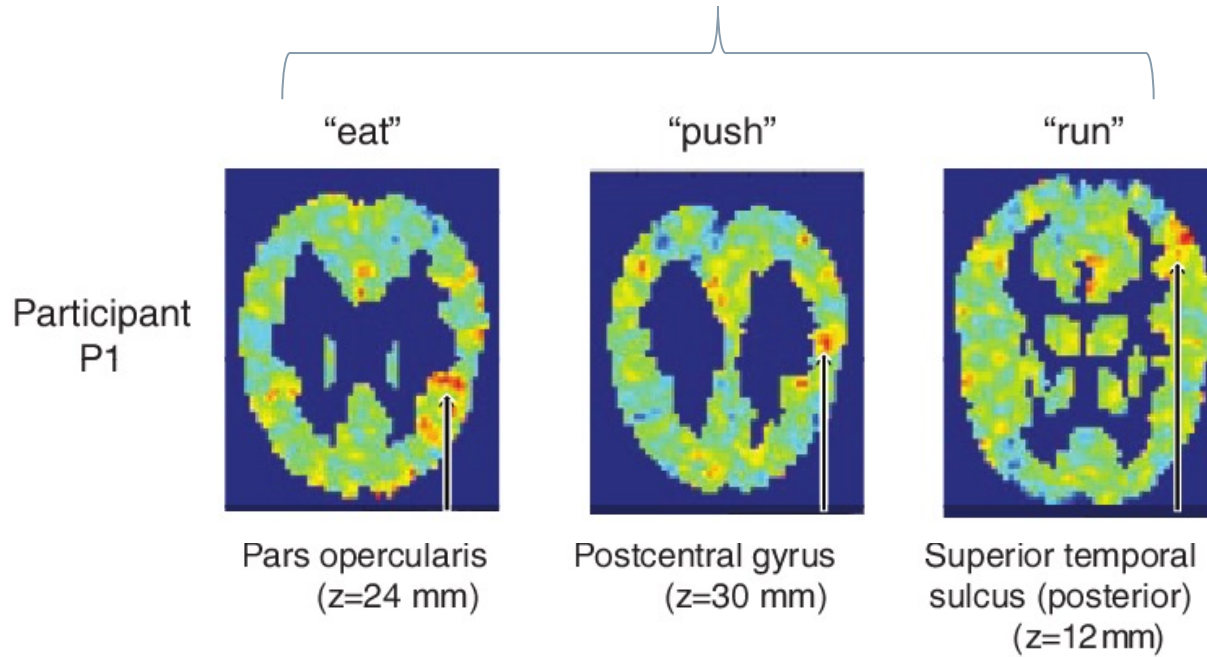


# mapping



# mapping

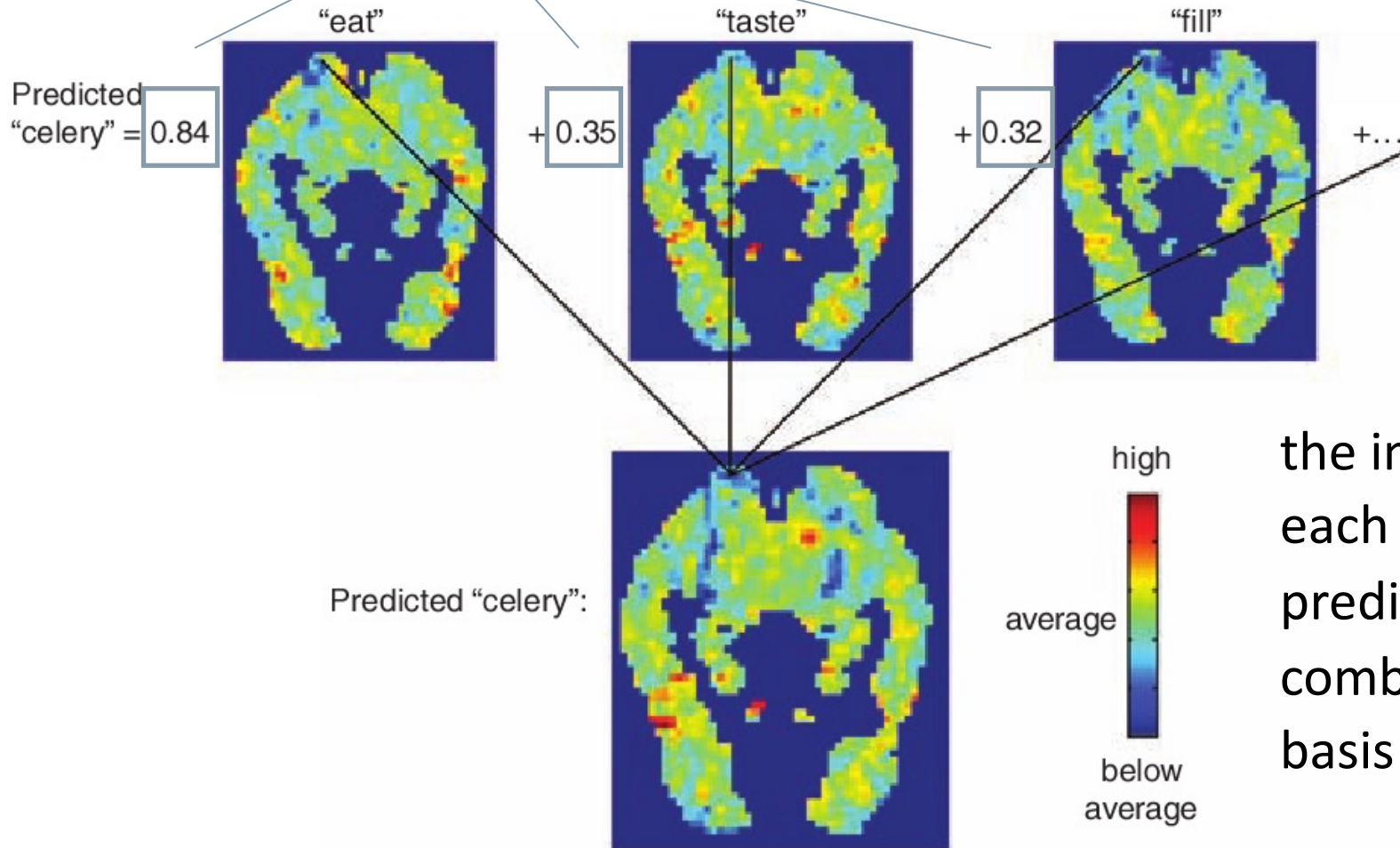
## semantic features



basis images  
capture the  
presence of  
each semantic  
feature across  
the brain

# mapping

semantic feature values for “celery”

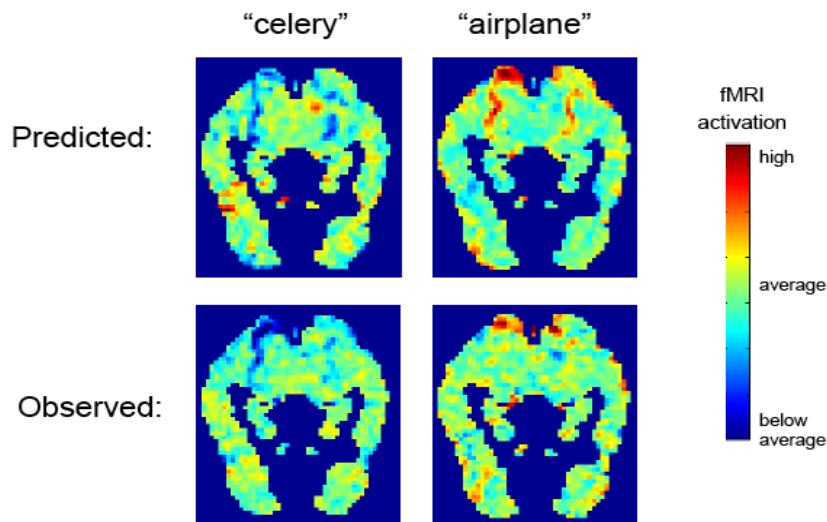


the image for each word is predicted as a combination of basis images

# evaluation

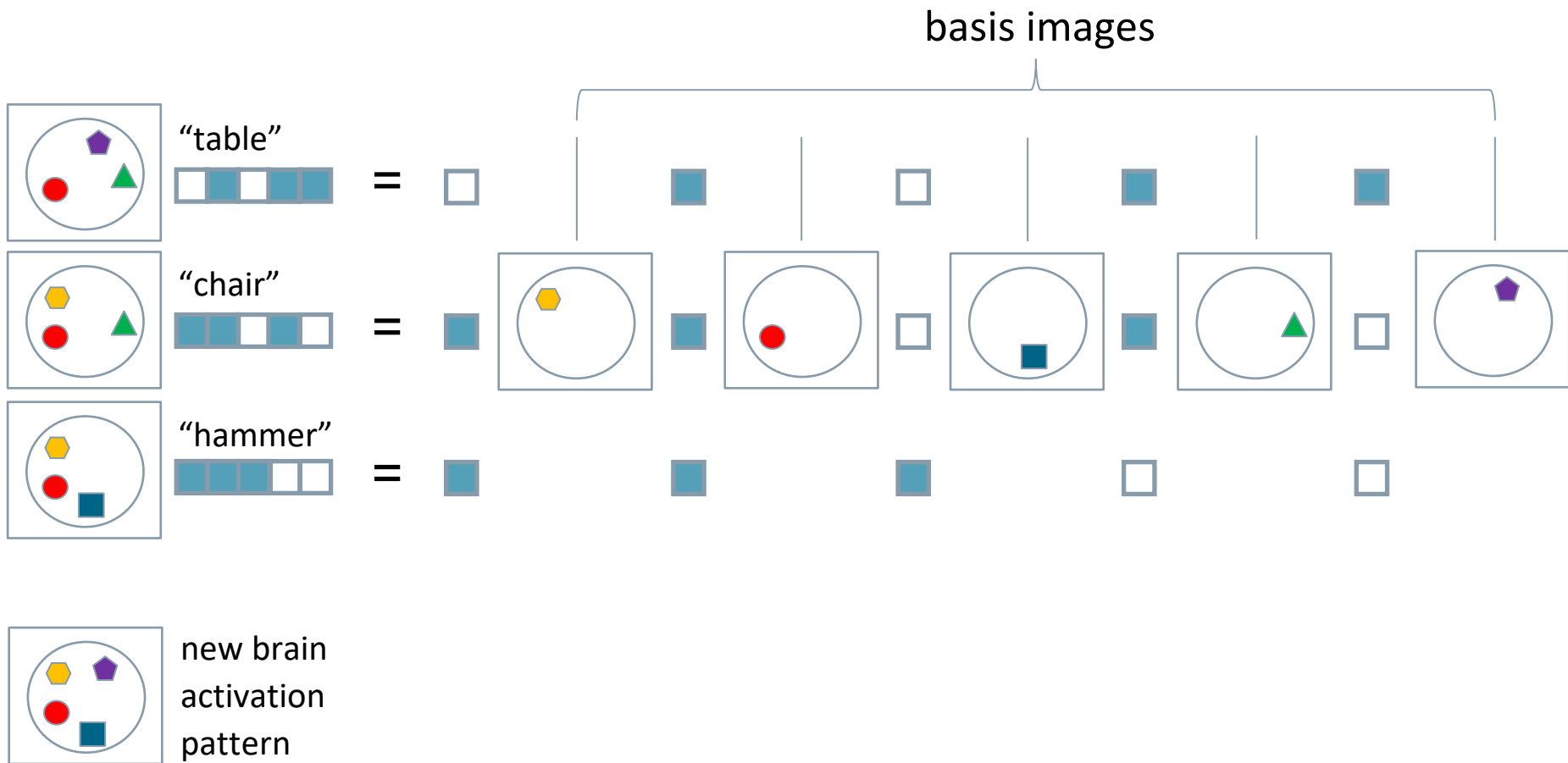
- learn basis images from 58 of the 60 words
- predict images for 2 left-out test words (“celery” and “airplane”), from their semantic feature values + basis images
- correct prediction if predicted can be matched to observed

(average accuracy across subjects 72%)

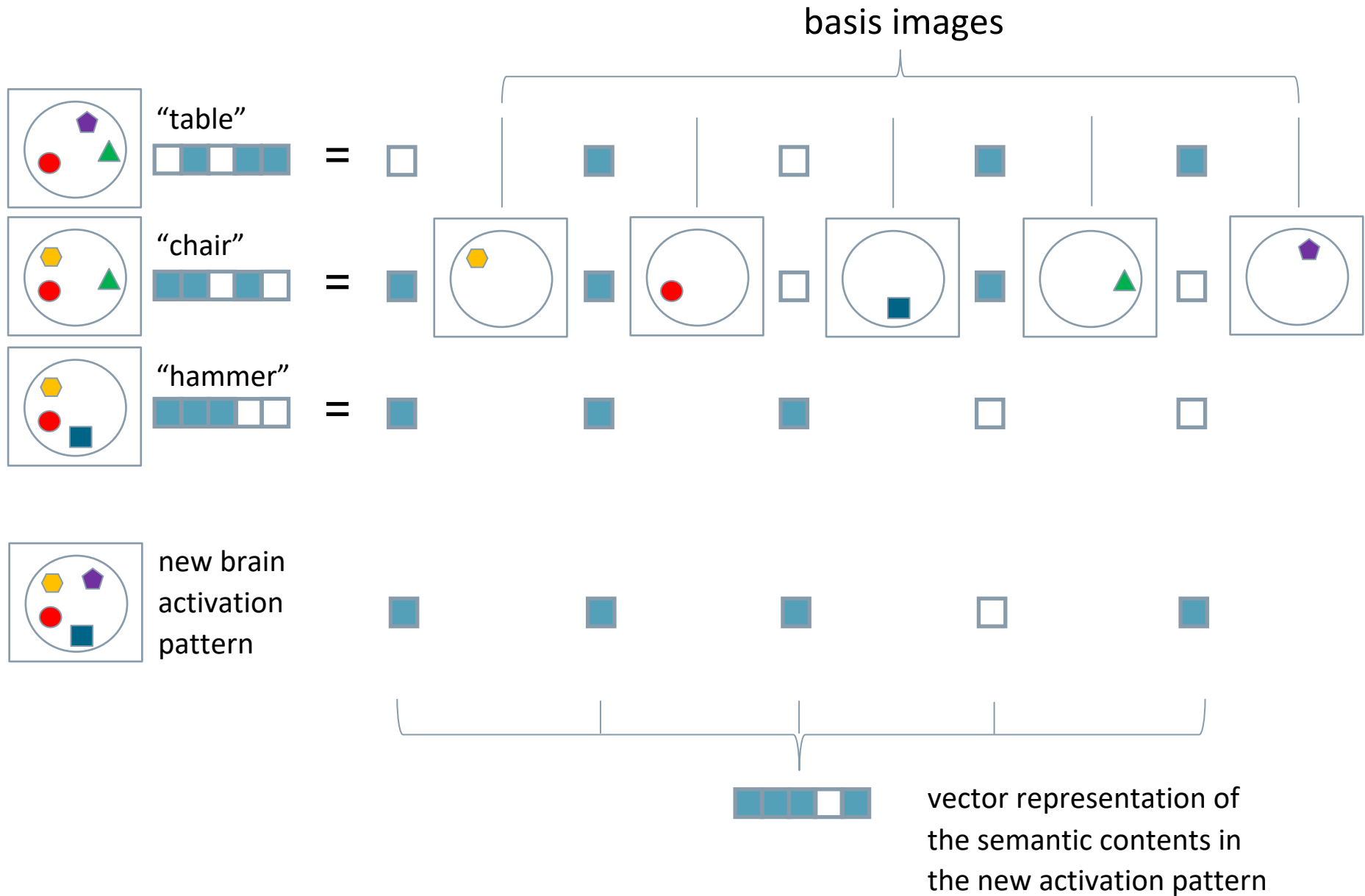




# from encoding to decoding



# from encoding to decoding



## case study 2 (encoding)

# Identifying natural images from human brain activity

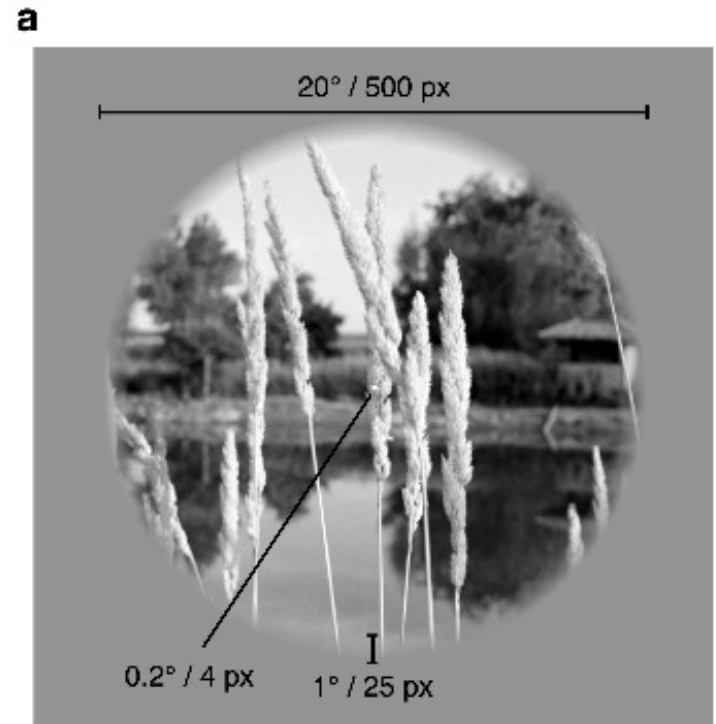
Kendrick N. Kay<sup>1</sup>, Thomas Naselaris<sup>2</sup>, Ryan J. Prenger<sup>3</sup> & Jack L. Gallant<sup>1,2</sup>

[Nature, 2008]

# design

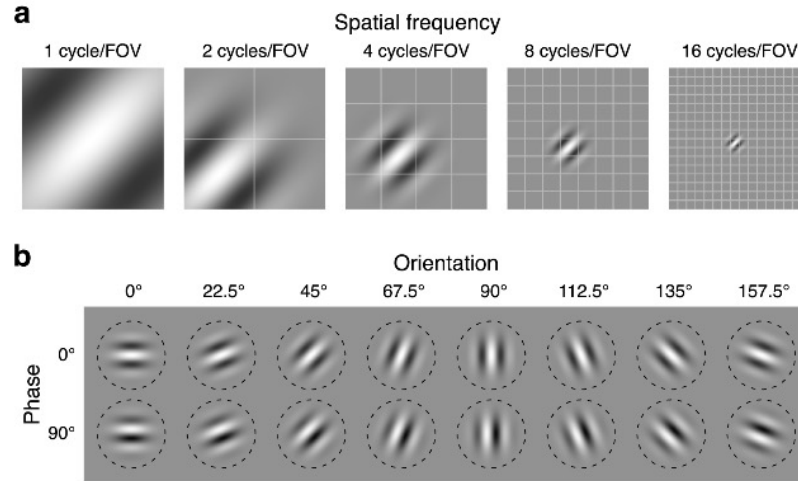
- 1750 training pictures
- 120 testing pictures

## stimulus in each trial

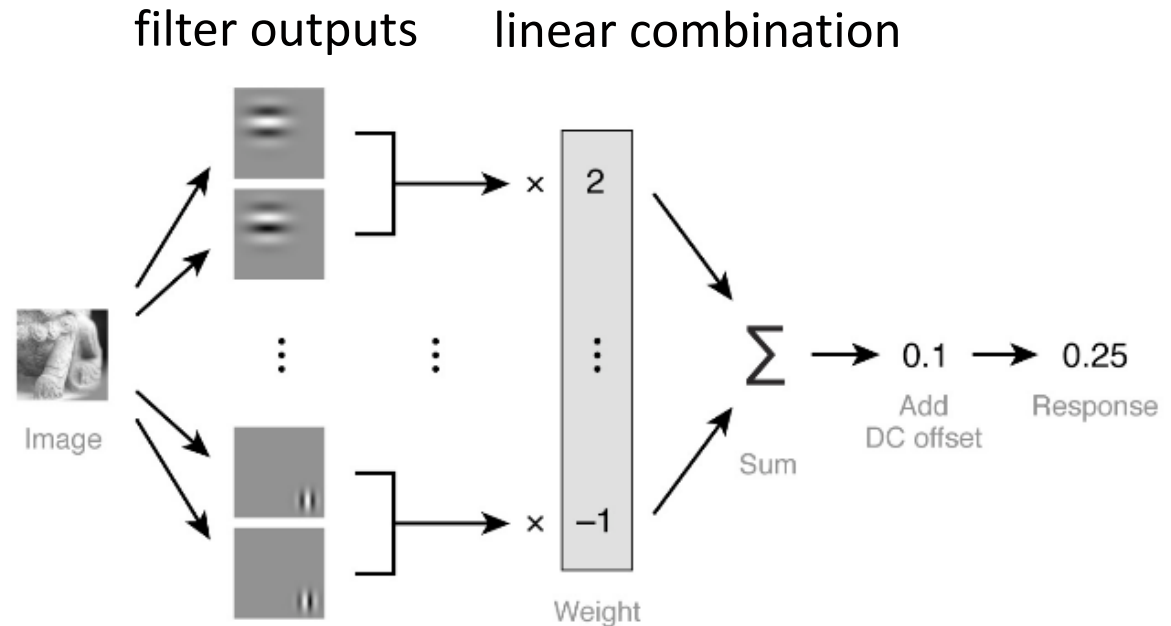


# model

representation:  
output of series  
of Gabor filters  
applied to stimulus



mapping:  
each voxel is a linear  
combination of  
filter outputs



# evaluation

- derive representation for 120 test image stimuli
- predict activation using voxelwise mapping
- classify by similarity of predicted activation to actual activation
- accuracy out of 120 possibilities (82% on average trial data)

## case study 2 (decoding)

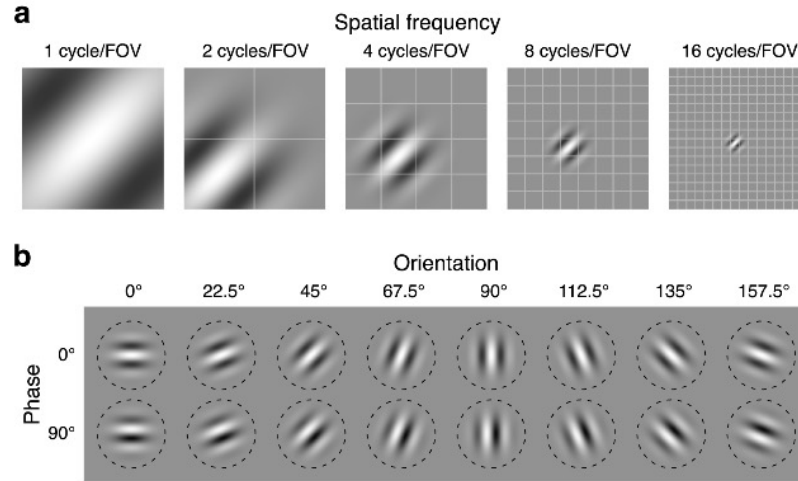
# Bayesian Reconstruction of Natural Images from Human Brain Activity

Thomas Naselaris,<sup>1</sup> Ryan J. Prenger,<sup>2</sup> Kendrick N. Kay,<sup>3</sup> Michael Oliver,<sup>4</sup> and Jack L. Gallant<sup>1,3,4,\*</sup>

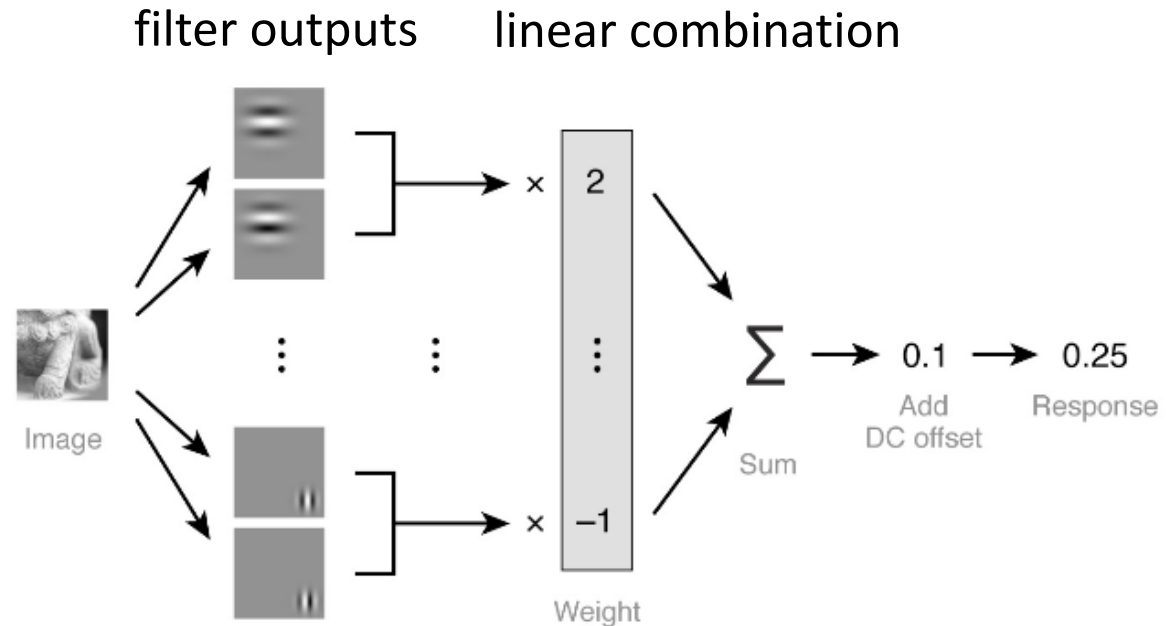
[Neuron, 2009]

# model

representation:  
output of series  
of Gabor filters  
applied to stimulus



mapping:  
each voxel is a  
linear combination  
of filter outputs





# expanded model

representation:

semantic category

labels for each

stimulus image

mostly animate

human

many

(crowd/gathering)

few

(body parts/portrait)

animal

mammal

(land/water)

non-mammal

(bird/fish/other)

mostly inanimate

man-made

non-building

(vehicle/artifacts)

building

(indoor/outdoor)

natural

plant

(edible/non-edible)

non-plant

(land/water/sky)

texture

mapping:

each voxel is

predicted as a function

of semantic category

# evaluation

- invert the model that predicts each voxel as function of visual or semantic information

stimulus



# evaluation

- invert the model that predicts each voxel as function of visual or semantic information
- apply it to the activation data for each test stimulus:
  - obtain posterior probability for each image in a large database (millions)
  - reconstruction is the highest probability image

stimulus



# evaluation

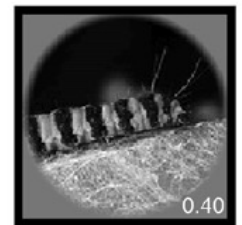
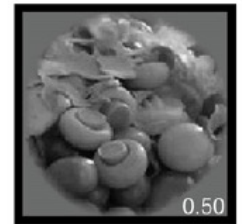
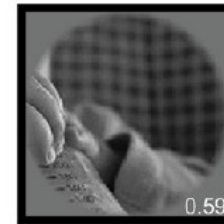
- invert the model that predicts each voxel as function of visual or semantic information
- apply it to the activation data for each test stimulus:
  - obtain posterior probability for each image in a large database (millions)
  - reconstruction is the highest probability image
- quantitative evaluation
  - correct if semantic category of reconstruction matches that of stimulus (40% on average)

stimulus



reconstruction

visual    visual+semantic



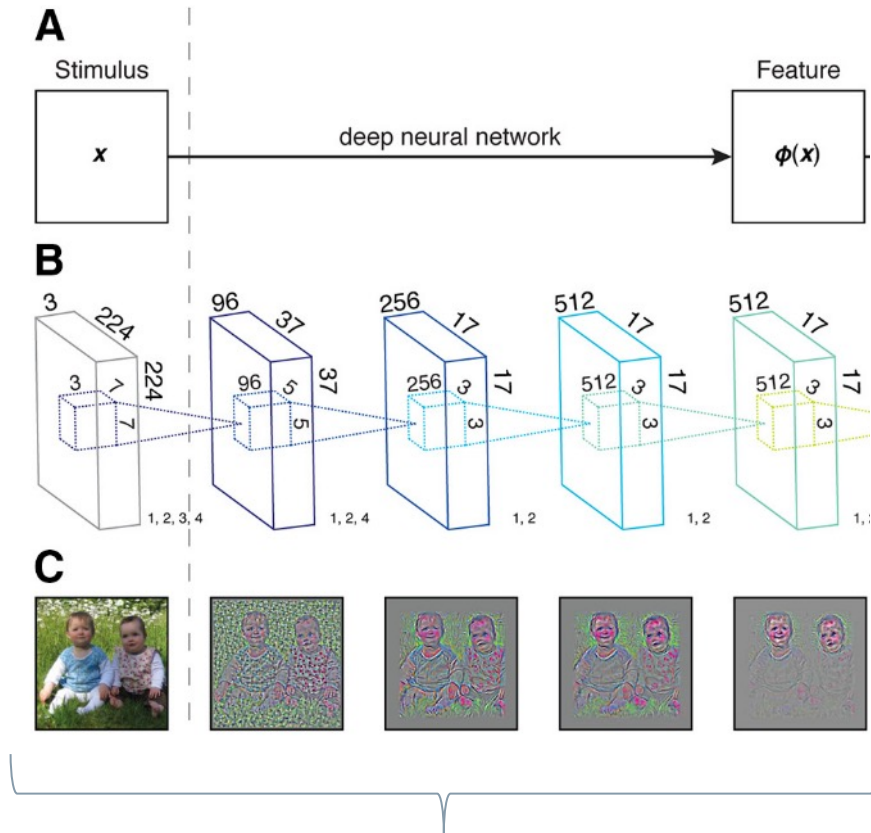
## case study 2 (encoding redux)

# Deep Neural Networks Reveal a Gradient in the Complexity of Neural Representations across the Ventral Stream

Umut Güçlü and Marcel A. J. van Gerven

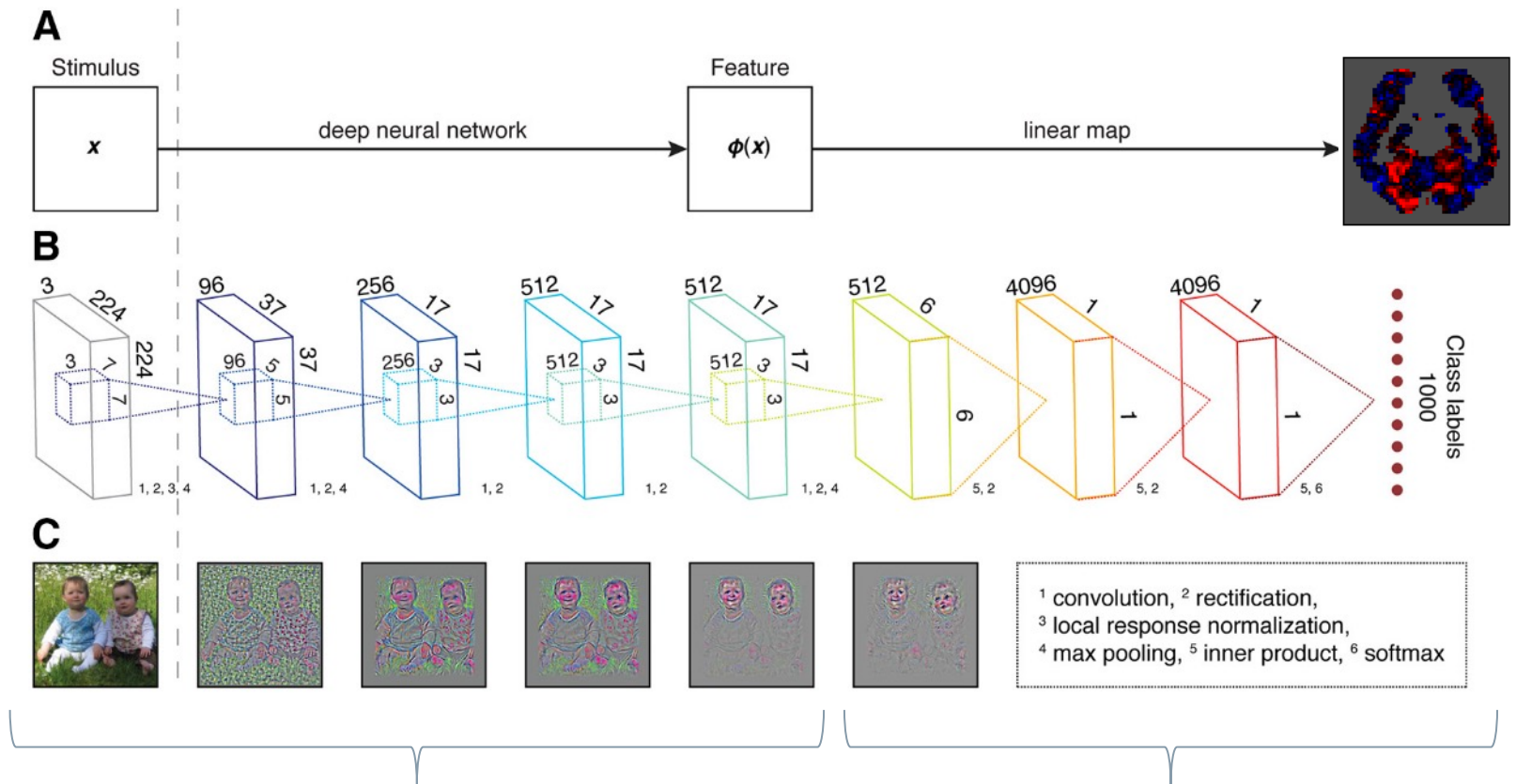
[J. Neuro, 2015]

# model



representation:  
layers in a  
convolutional  
neural network

# model



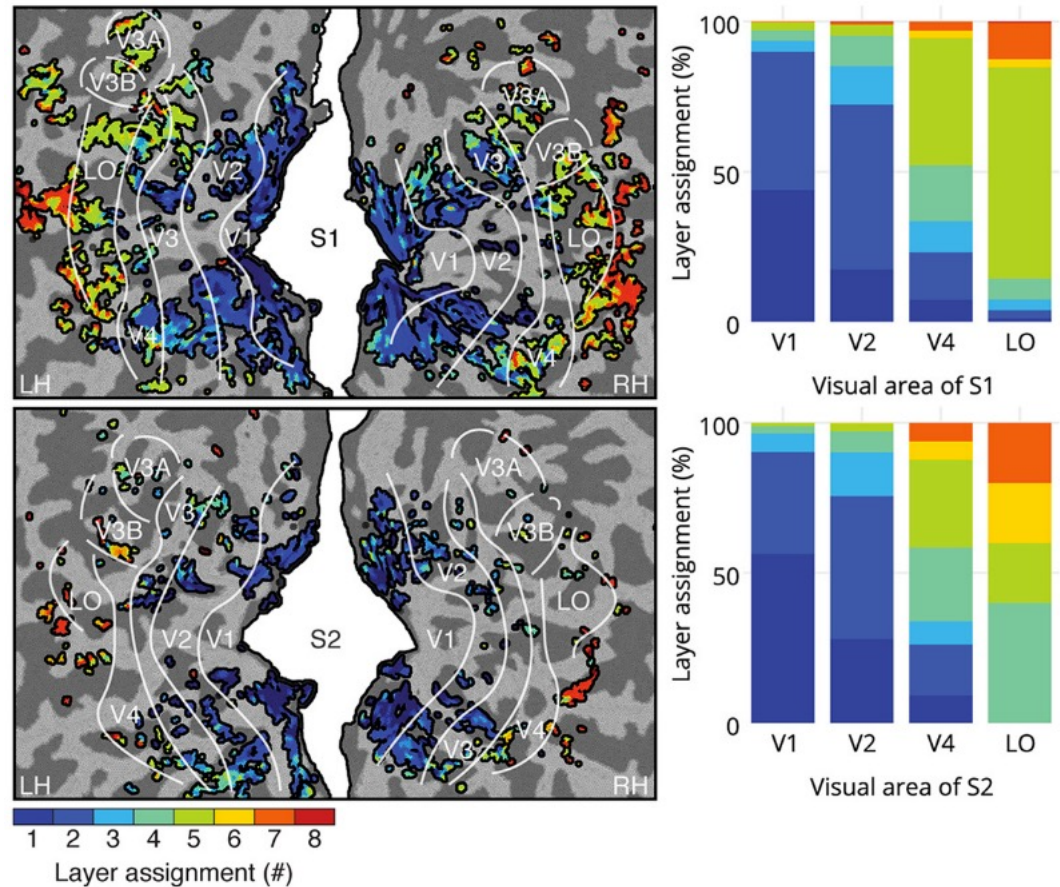
representation:  
layers in a  
convolutional  
neural network

mapping:  
each voxel is a linear  
combination of  
network outputs



# evaluation

- assign each voxel to the network layer that best predicts it in test stimuli
- voxels that are further in the ventral visual stream are better predicted by inner network layers





## case study 3 (RSA redux)

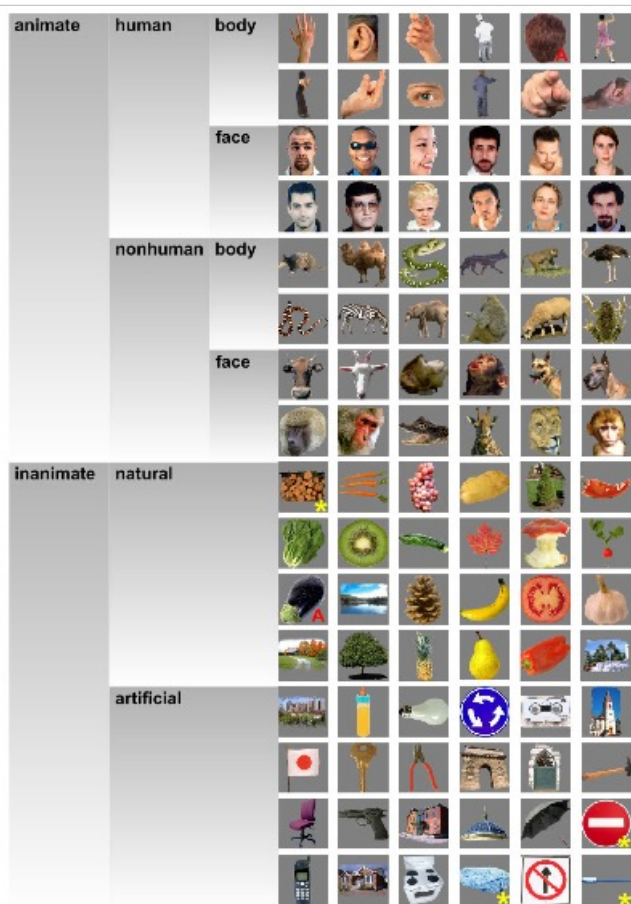
# Deep Supervised, but Not Unsupervised, Models May Explain IT Cortical Representation

Seyed-Mahdi Khaligh-Razavi\*, Nikolaus Kriegeskorte\*

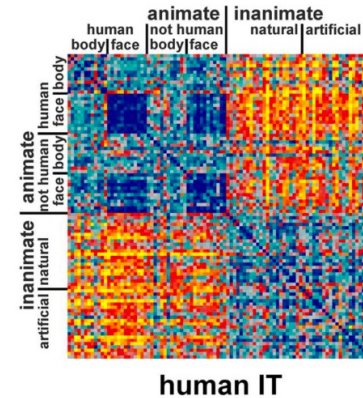
[PLoS Comp Bio, 2015]



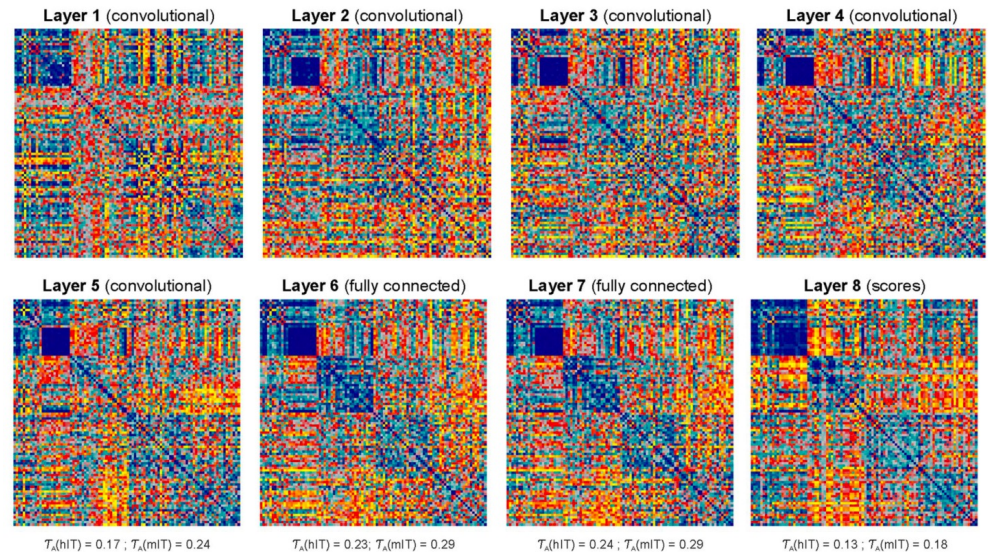
# case study 3 (RSA redux)



similarity of human IT activation across stimuli

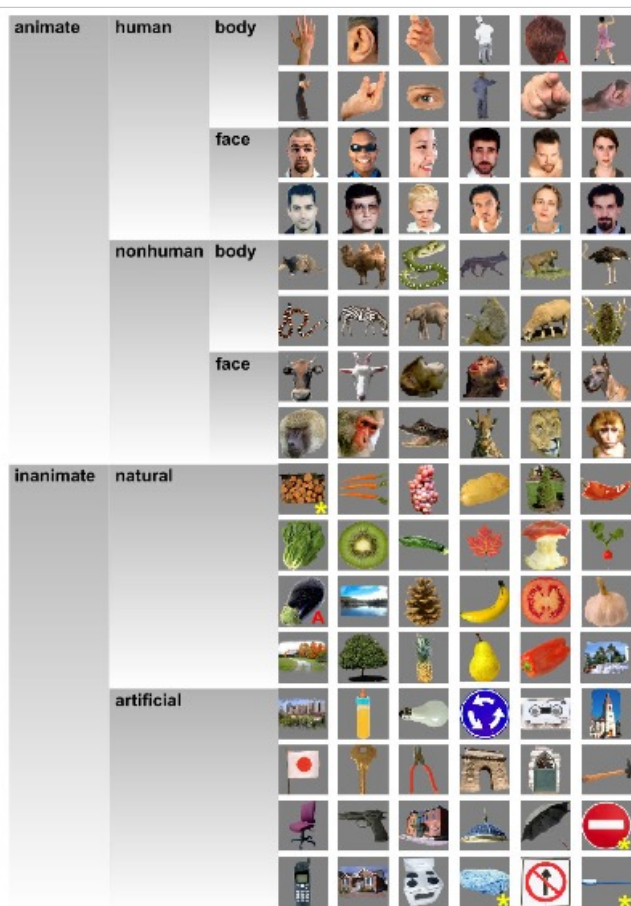


similarity of stimulus image representation in each layer of a convolutional neural network

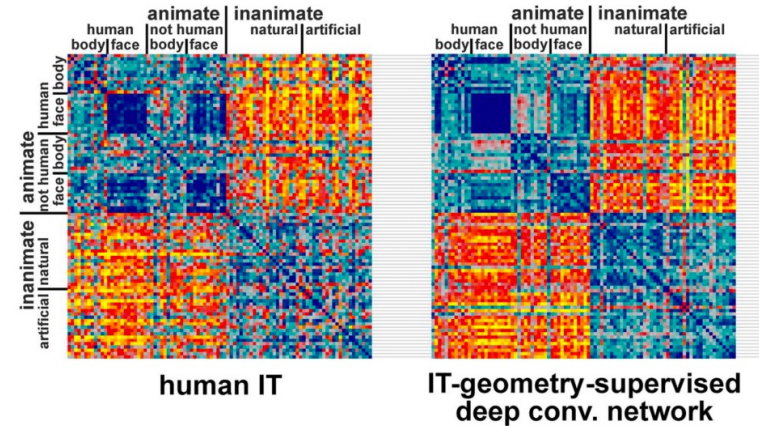




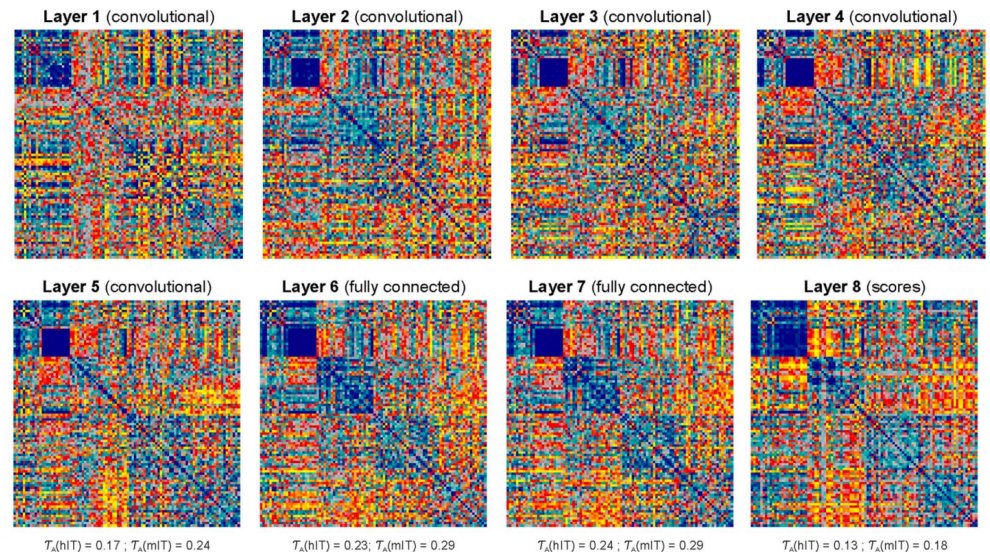
# case study 3 (RSA redux)



similarity of human IT activation across stimuli



similarity of stimulus image representation in each layer of a convolutional neural network



# studies based on encoding/decoding models

## encoding

- Thirion 2006 binary figures
- Miyawaki 2008 binary figures
- Kay 2008 natural images
- Mitchell 2008 word+drawing
- Naselaris 2009 natural images
- Just 2010 words
- Nishimoto 2011 movie clips
- Huth 2012 movie clips
- Wehbe 2014 story (text)
- Güçlü 2015 natural images
- Huth 2016 story (audio)
- Handjaras 2016 words (audio/text)
- Anderson 2016 sentences
- Anderson 2017 words
- Wang 2017 sentences
- Liu 2017 movies/images
- ...

## stimuli

## decoding

- Naselaris 2009 natural images
- van Gerven 2010 digits
- Palatucci 2011 word+drawing
- Pereira 2011 word+drawing
- Horikawa 2017 natural images
- Liu 2017 movies/images
- Pereira 2018 sentences
- ...

## stimuli

## representation similarity

- Kriegeskorte 2008
- Khaligh-Razavi 2014
- ...

# summary of encoding and decoding models

- the representation is usually complex (e.g. a vector of values)
- derived from text corpora, large databases of images, behavior,...
- the same representation can be used in either direction

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- the same representation can be used in either direction
  
- learn mappings from representation + imaging of training stimuli
- evaluation relies on generalization to new stimuli
  - predict imaging data or infer representation
  - in the limit, actual reconstruction of the stimulus!
  - prior information helps (what could it be, statistics of natural images, etc)

# summary of encoding and decoding models

## encoding

- identify voxels/locations the model can predict
- classify predicted activation by similarity with true activation

## decoding

- extract the representation from activation for novel stimuli
- reconstruct stimulus or an approximation thereof

## representation similarity

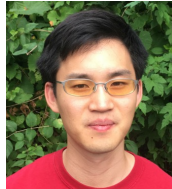
- can be done in either encoding or decoding model
- compare either activation or representation similarity with reference similarities obtained in various ways



# the machine learning team



Francisco  
Pereira



Charles  
Zheng



Patrick  
McClure

## we can help with

- turning stimuli into representations (automatically, if we are lucky!)
- deriving representations from behavior or other sources
- devising an encoding/decoding model strategy for your problem...
- ... or using all the methods described earlier...

email [francisco.pereira@nih.gov](mailto:francisco.pereira@nih.gov) or drop by (B10, 3D41)

Thank you!